

02.15.02

EP 3729

#4

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PATENT

Attorney Docket No. 11157.00

Express Mail Label No. EV 016646786 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Laurence M.C. LAI et al.

Serial No. 09/989,898

Filed: November 19, 2001

For: MANUFACTURE HAVING DOUBLE SIDED  
FEATURES IN A METAL-CONTAINING  
WEB AND MANUFACTURE AND  
METHOD FOR FORMING SAME IN A  
LIQUID-BASED ETCH PROCESS

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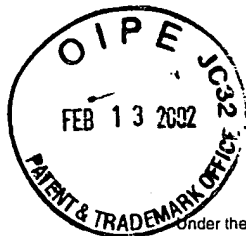
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2. Information Disclosure Statement Under 37 CFR § 1.97(b);
3. Information Disclosure Statement by Applicant;
4. Copies of 13 cited prior art references;
4. Certificate of Mailing by Express Mail; and
5. Return Card,

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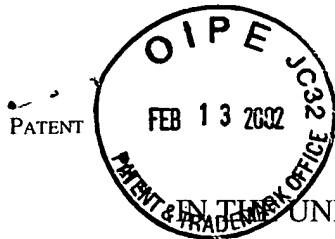
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application	09/989,898	
	Filing Date	November 19, 2001	
	First Named	Laurence M.C. Lai et al.	
	Group Art Unit	3729	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	11157.00

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<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>Information Disclosure Statement by Applicant, and copies of 13 references cited</b>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	David H. Carroll, Reg. No. 29,903 Dorsey & Whitney LLP USPTO Customer No. 20686
Signature	
Date	February 13, 2002

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ATTORNEY DOCKET No. 11157.00

UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Applicant: Laurence M.C. LAI et al.

Docket No.: 11157.00

Assignee:

Title: MANUFACTURE HAVING DOUBLE SIDED FEATURES IN A METAL-CONTAINING WEB AND MANUFACTURE AND METHOD FOR FORMING SAME IN A LIQUID-BASED ETCH PROCESS

Serial No. 09/989,898

Filed: November 19, 2001

Examiner: Not Yet Assigned

Group Art Unit: 3729

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

In accordance with 37 CFR § 1.97(b) to the present knowledge of the undersigned, the accompanying Information Disclosure Statement submitted herewith and the information contained herein are being filed within any one of the following time periods: within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR § 1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under 37 CFR § 1.114. Pursuant to 37 CFR § 1.97(b), no fee is required.

The filing of this information disclosure statement, including citation of the listed documents, shall not be construed as an admission that the information furnished is, or is considered to be, material to patentability as defined in, for example, section 1.56(b) or prior art with respect to the present invention, or as a representation that that no other material information exists or that search has been made (other than as specifically described above). The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

Respectfully submitted,

Date: 02/13/2002



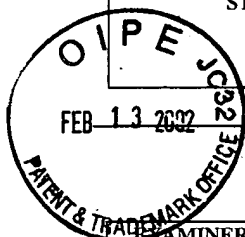
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<b>FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE</b> (Modified) <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 11157.00	<b>SERIAL NO.</b> 09/989,898
	<b>APPLICANT:</b> Laurence M.C. LAI et al.	
	<b>FILING DATE</b> November 19, 2001	<b>GROUP</b> 3729 <b>1765</b>



**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AKA</i>	3,536,545	10/27/1970	Traynor et al.	156	3	
	3,898,095	08/05/1975	Berdan et al.	134	3	
	3,913,219	10/21/1975	Lichtblau	29	592	
	4,398,994	08/16/1983	Beckett	156	659.1	
	4,552,614	11/12/1985	Beckett	156	640	
	4,610,755	09/09/1986	Beckett	156	634	
	4,869,778	09/26/1989	Cote	156	635	
	4,915,780	04/10/1990	Beckett	156	661.1	
	4,935,093	06/19/1990	Reeb	156	630	
	5,117,078	05/26/1992	Beckett	219	10.55 E	
	5,340,436	08/23/1994	Beckett	156	639	
	5,754,110	05/19/1998	Appalucci et al.	340	572	

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**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**

	DOCUMENT NUMBER	PUBLISHED DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>AKA</i>	"An Overview of American Technology Corporation's NeoPlanar™ High Performance, Planar Magnetic Transducer", American Technology Corporation, 2001.	
EXAMINER	<i>Armita Alexander</i>	DATE CONSIDERED <i>4/14/03</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		